



TFW 3624

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number  
10/023,298Filing Date  
December 17, 2001First Named Inventor  
Martin MaddenArt Unit  
3624Examiner Name  
Alain L. BashoreAttorney Docket Number  
2815CON**ENCLOSURES (Check all that apply)**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input checked="" type="checkbox"/> Amendment/Reply                       | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application                     | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address       | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Information Disclosure Statement                 | <input type="checkbox"/> CD, Number of CD(s) _____  |   |
|   | <input type="checkbox"/> Landscape Table on CD  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <b>Remarks</b>  |   |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application    | Amendment Responsive to Non-Final Office Action Mailed November 15, 2005 and Transmittal Form |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	MICHAEL P. MAZZA, LLC		
Signature			
Printed name	Michael P. Mazza		
Date	January 9, 2006	Reg. No.	34,092

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Michael P. Mazza	Date	January 9, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**ATTORNEY DOCKET  
NO. 2815CON**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Martin P. Madden	)	
	)	
SERIAL NO.: 10/023,298	)	
	)	
FILED: December 17, 2001	)	Art Unit: 3624
	)	
FOR: SYSTEM AND METHOD FOR	)	Examiner: Alain L. Bashore
ANALYZING AND ORIGINATING A	)	
CONTRACTUAL ARRANGEMENT FOR	)	Attorney Docket: 2815CON
A BANK DEPOSITS LIABILITIES BASE	)	

**AMENDMENT RESPONSIVE TO  
NON-FINAL OFFICE ACTION MAILED NOVEMBER 15, 2005**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

This Amendment is filed in response to the non-final Office Action mailed November 15, 2005. After the claims are presented (only Claim 1 has been amended), brief Remarks are provided.